

# Essential OCL - A Study for a Consistent Semantics of UML/OCL 2.2 in HOL.

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# 1 OCL Core Definitions

## 1.1 Foundational Notations

First of all, we will use a more compact notation for the library option type which occur all over in our definitions and which will make the presentation more "textbook"-like:

```
syntax
lift      :: ' $\alpha$   $\Rightarrow$  ' $\alpha$  option  ( $\lfloor (-) \rfloor$ )
translations
 $\lfloor a \rfloor == CONST\ Some\ a$ 

syntax
bottom    :: ' $\alpha$  option  ( $\perp$ )
translations
 $\perp == CONST\ None$ 

fun   drop :: ' $\alpha$  option  $\Rightarrow$  ' $\alpha$  ( $\lceil (-) \rceil$ )
where drop (Some v) = v
```

## 1.2 State, State Transitions, Well-formed States

Next we will introduce the foundational concept of an object id (oid), which is just some infinite set.

**type-synonym**  $oid = ind$

States are just a partial map from oid's to elements of an object universe  $\mathfrak{A}$ , and state transitions pairs of states...

**type-synonym**  $(\mathfrak{A}) state = oid \rightarrow \mathfrak{A}$

**type-synonym**  $(\mathfrak{A}) st = \mathfrak{A} state \times \mathfrak{A} state$

In certain contexts, we will require that the elements of the object universe have a particular structure; more precisely, we will require that there is a function that reconstructs the oid of an object in the state (we will settle the question how to define this function later).

```
class object =
fixes oid-of :: 'a  $\Rightarrow$  oid
```

Thus, if needed, we can constrain the object universe to objects by adding the following type class constraint:

**typ**  $\mathfrak{A} :: object$

All OCL expressions *denote* functions that map the underlying

**type-synonym**  $(\mathfrak{A}, '\alpha) val = \mathfrak{A} st \Rightarrow '\alpha option option$

A key-concept for linking strict referential equality to logical equality: in well-formed states (i.e. those states where the self (oid-of) field contains the pointer to which the object is associated to in the state), referential equality coincides with logical equality.

```
definition WFF :: ('A::object)st  $\Rightarrow$  bool
where WFF  $\tau = ((\forall x \in \text{dom}(\text{fst } \tau). x = \text{oid-of}(\text{the}(\text{fst } \tau x))) \wedge$ 
         $(\forall x \in \text{dom}(\text{snd } \tau). x = \text{oid-of}(\text{the}(\text{snd } \tau x))))$ 
```

This is a generic definition of referential equality: Equality on objects in a state is reduced to equality on the references to these objects. As in HOL-OCL, we will store the reference of an object inside the object in a (ghost) field. By establishing certain invariants ("consistent state"), it can be assured that there is a "one-to-one-correspondance" of objects to their references — and therefore the definition below behaves as we expect.

Generic Referential Equality enjoys the usual properties: (quasi) reflexivity, symmetry, transitivity, substitutivity for defined values. For type-technical reasons, for each concrete object type, the equality  $\doteq$  is defined by generic referential equality.

### 1.3 Basic Constants

```
definition invalid :: ('A,'α) val
where invalid  $\equiv \lambda \tau. \perp$ 
```

```
definition null :: ('A,'α) val
where null  $\equiv \lambda \tau. \lfloor \perp \rfloor$ 
```

### 1.4 Boolean Type and Logic

```
type-synonym ('A)Boolean = ('A,bool) val
type-synonym ('A)Integer = ('A,int) val
```

```
definition true :: ('A)Boolean
where true  $\equiv \lambda \tau. \lfloor \lfloor \text{True} \rfloor \rfloor$ 
```

```
definition false :: ('A)Boolean
where false  $\equiv \lambda \tau. \lfloor \lfloor \text{False} \rfloor \rfloor$ 
```

```
lemma bool-split:  $X \tau = \text{invalid } \tau \vee X \tau = \text{null } \tau \vee$ 
 $X \tau = \text{true } \tau \vee X \tau = \text{false } \tau$ 
⟨proof⟩
```

```
thm bool-split
```

```
lemma [simp]: false (a, b) =  $\lfloor \lfloor \text{False} \rfloor \rfloor$ 
```

$\langle proof \rangle$

**lemma** [simp]:  $true(a, b) = \lfloor \lfloor True \rfloor \rfloor$   
 $\langle proof \rangle$

## 2 Logical (Strong) Equality and Definedness

**definition**  $StrongEq ::= ((\mathfrak{A}, \alpha)val, (\mathfrak{A}, \alpha)val) \Rightarrow (\mathfrak{A} Boolean)$  (**infixl**  $\triangleq$  30)  
**where**  $X \triangleq Y \equiv \lambda \tau. \lfloor \lfloor X \tau = Y \tau \rfloor \rfloor$

**lemma**  $cp\text{-}StrongEq$ :  $(X \triangleq Y) \tau = ((\lambda \_. X \tau) \triangleq (\lambda \_. Y \tau)) \tau$   
 $\langle proof \rangle$

**lemma**  $StrongEq\text{-refl}$  [simp]:  $(X \triangleq X) = true$   
 $\langle proof \rangle$

**lemma**  $StrongEq\text{-sym}$  [simp]:  $(X \triangleq Y) = (Y \triangleq X)$   
 $\langle proof \rangle$

**lemma**  $StrongEq\text{-trans-strong}$  [simp]:  
**assumes**  $A: (X \triangleq Y) = true$   
**and**  $B: (Y \triangleq Z) = true$   
**shows**  $(X \triangleq Z) = true$   
 $\langle proof \rangle$

**definition**  $valid ::= ((\mathfrak{A}, \alpha)val \Rightarrow (\mathfrak{A} Boolean) (v - [100]100))$   
**where**  $v X \equiv \lambda \tau . case X \tau of$   
 $\quad \perp \Rightarrow false \tau$   
 $\quad \lfloor \lfloor \perp \rfloor \rfloor \Rightarrow true \tau$   
 $\quad \lfloor \lfloor x \rfloor \rfloor \Rightarrow true \tau$

**lemma**  $cp\text{-}valid$ :  $(v X) \tau = (v (\lambda \_. X \tau)) \tau$   
 $\langle proof \rangle$

**lemma**  $valid1$  [simp]:  $v invalid = false$   
 $\langle proof \rangle$

**lemma**  $valid2$  [simp]:  $v null = true$   
 $\langle proof \rangle$

**lemma**  $valid3$  [simp]:  $v v X = true$   
 $\langle proof \rangle$

**definition**  $defined ::= ((\mathfrak{A}, \alpha)val \Rightarrow (\mathfrak{A} Boolean) (\delta - [100]100))$   
**where**  $\delta X \equiv \lambda \tau . case X \tau of$   
 $\quad \perp \Rightarrow false \tau$   
 $\quad \lfloor \lfloor \perp \rfloor \rfloor \Rightarrow false \tau$   
 $\quad \lfloor \lfloor x \rfloor \rfloor \Rightarrow true \tau$

**lemma** *cp-defined*: $(\delta X)\tau = (\delta (\lambda \_. X \tau)) \tau$   
*⟨proof⟩*

**lemma** *defined1*[simp]:  $\delta \text{ invalid} = \text{false}$   
*⟨proof⟩*

**lemma** *defined2*[simp]:  $\delta \text{ null} = \text{false}$   
*⟨proof⟩*

**lemma** *defined3*[simp]:  $\delta \delta X = \text{true}$   
*⟨proof⟩*

**lemma** *valid4*[simp]:  $v (X \triangleq Y) = \text{true}$   
*⟨proof⟩*

**lemma** *defined4*[simp]:  $\delta (X \triangleq Y) = \text{true}$   
*⟨proof⟩*

**lemma** *defined5*[simp]:  $\delta v X = \text{true}$   
*⟨proof⟩*

**lemma** *valid5*[simp]:  $v \delta X = \text{true}$   
*⟨proof⟩*

### 3 Logical Connectives and their Universal Properties

**definition** *not* :: ( $'\mathfrak{A}$ )Boolean  $\Rightarrow$  ( $'\mathfrak{A}$ )Boolean  
**where**  $\text{not } X \equiv \lambda \tau . \text{case } X \tau \text{ of}$   
 $\quad \quad \quad \perp \Rightarrow \perp$   
 $\quad \quad \quad \lfloor \lfloor \perp \rfloor \rfloor \Rightarrow \lfloor \perp \rfloor$   
 $\quad \quad \quad \lfloor \lfloor x \rfloor \rfloor \Rightarrow \lfloor \lfloor \neg x \rfloor \rfloor$

**lemma** *cp-not*:  $(\text{not } X)\tau = (\text{not } (\lambda \_. X \tau)) \tau$   
*⟨proof⟩*

**lemma** *not1*[simp]:  $\text{not invalid} = \text{invalid}$   
*⟨proof⟩*

**lemma** *not2*[simp]:  $\text{not null} = \text{null}$   
*⟨proof⟩*

**lemma** *not3*[simp]:  $\text{not true} = \text{false}$   
*⟨proof⟩*

**lemma** *not4*[simp]:  $\text{not false} = \text{true}$

$\langle proof \rangle$

**lemma** *not-not*[simp]:  $\text{not}(\text{not } X) = X$   
 $\langle proof \rangle$

**definition** *ocl-and* :: [ $(\mathcal{A}\text{Boolean}, (\mathcal{A}\text{Boolean}) \Rightarrow (\mathcal{A}\text{Boolean})$ ] **(infixl and 30)**

**where**  $X \text{ and } Y \equiv (\lambda \tau . \text{case } X \tau \text{ of}$   
 $\quad \perp \Rightarrow (\text{case } Y \tau \text{ of}$   
 $\quad \quad \perp \Rightarrow \perp$   
 $\quad \quad | \lfloor \perp \rfloor \Rightarrow \perp$   
 $\quad \quad | \lfloor \lfloor \text{True} \rfloor \rfloor \Rightarrow \perp$   
 $\quad \quad | \lfloor \lfloor \text{False} \rfloor \rfloor \Rightarrow \lfloor \lfloor \text{False} \rfloor \rfloor)$   
 $\quad | \lfloor \perp \rfloor \Rightarrow (\text{case } Y \tau \text{ of}$   
 $\quad \quad \perp \Rightarrow \perp$   
 $\quad \quad | \lfloor \perp \rfloor \Rightarrow \lfloor \perp \rfloor$   
 $\quad \quad | \lfloor \lfloor \text{True} \rfloor \rfloor \Rightarrow \lfloor \perp \rfloor$   
 $\quad \quad | \lfloor \lfloor \text{False} \rfloor \rfloor \Rightarrow \lfloor \lfloor \text{False} \rfloor \rfloor)$   
 $\quad | \lfloor \lfloor \text{True} \rfloor \rfloor \Rightarrow (\text{case } Y \tau \text{ of}$   
 $\quad \quad \perp \Rightarrow \perp$   
 $\quad \quad | \lfloor \perp \rfloor \Rightarrow \lfloor \perp \rfloor$   
 $\quad \quad | \lfloor \lfloor y \rfloor \rfloor \Rightarrow \lfloor \lfloor y \rfloor \rfloor)$   
 $\quad | \lfloor \lfloor \text{False} \rfloor \rfloor \Rightarrow \lfloor \lfloor \text{False} \rfloor \rfloor)$

**definition** *ocl-or* :: [ $(\mathcal{A}\text{Boolean}, (\mathcal{A}\text{Boolean}) \Rightarrow (\mathcal{A}\text{Boolean})$ ] **(infixl or 25)**

**where**  $X \text{ or } Y \equiv \text{not}(\text{not } X \text{ and } \text{not } Y)$

**definition** *ocl-implies* :: [ $(\mathcal{A}\text{Boolean}, (\mathcal{A}\text{Boolean}) \Rightarrow (\mathcal{A}\text{Boolean})$ ] **(infixl implies 25)**

**where**  $X \text{ implies } Y \equiv \text{not } X \text{ or } Y$

**lemma** *cp-ocl-and*:  $(X \text{ and } Y) \tau = ((\lambda \_. X \tau) \text{ and } (\lambda \_. Y \tau)) \tau$   
 $\langle proof \rangle$

**lemma** *cp-ocl-or*:  $((X :: (\mathcal{A}\text{Boolean})) \text{ or } Y) \tau = ((\lambda \_. X \tau) \text{ or } (\lambda \_. Y \tau)) \tau$   
 $\langle proof \rangle$

**lemma** *cp-ocl-implies*:  $(X \text{ implies } Y) \tau = ((\lambda \_. X \tau) \text{ implies } (\lambda \_. Y \tau)) \tau$   
 $\langle proof \rangle$

**lemma** *ocl-and1*[simp]:  $(\text{invalid and true}) = \text{invalid}$

$\langle proof \rangle$

**lemma** *ocl-and2*[simp]:  $(\text{invalid and false}) = \text{false}$

$\langle proof \rangle$

```

lemma ocl-and3[simp]: (invalid and null) = invalid
  ⟨proof⟩
lemma ocl-and4[simp]: (invalid and invalid) = invalid
  ⟨proof⟩

lemma ocl-and5[simp]: (null and true) = null
  ⟨proof⟩
lemma ocl-and6[simp]: (null and false) = false
  ⟨proof⟩
lemma ocl-and7[simp]: (null and null) = null
  ⟨proof⟩
lemma ocl-and8[simp]: (null and invalid) = invalid
  ⟨proof⟩

lemma ocl-and9[simp]: (false and true) = false
  ⟨proof⟩
lemma ocl-and10[simp]: (false and false) = false
  ⟨proof⟩
lemma ocl-and11[simp]: (false and null) = false
  ⟨proof⟩
lemma ocl-and12[simp]: (false and invalid) = false
  ⟨proof⟩

lemma ocl-and13[simp]: (true and true) = true
  ⟨proof⟩
lemma ocl-and14[simp]: (true and false) = false
  ⟨proof⟩
lemma ocl-and15[simp]: (true and null) = null
  ⟨proof⟩
lemma ocl-and16[simp]: (true and invalid) = invalid
  ⟨proof⟩

lemma ocl-and-idem[simp]: (X and X) = X
  ⟨proof⟩

lemma ocl-and-commute: (X and Y) = (Y and X)
  ⟨proof⟩

lemma ocl-and-false1[simp]: (false and X) = false
  ⟨proof⟩

lemma ocl-and-false2[simp]: (X and false) = false
  ⟨proof⟩

lemma ocl-and-true1[simp]: (true and X) = X
  ⟨proof⟩

```

```

lemma ocl-and-true2[simp]: (X and true) = X
  ⟨proof⟩

lemma ocl-and-assoc: (X and (Y and Z)) = (X and Y and Z)
  ⟨proof⟩

lemma ocl-or-idem[simp]: (X or X) = X
  ⟨proof⟩

lemma ocl-or-commute: (X or Y) = (Y or X)
  ⟨proof⟩

lemma ocl-or-false1[simp]: (false or Y) = Y
  ⟨proof⟩

lemma ocl-or-false2[simp]: (Y or false) = Y
  ⟨proof⟩

lemma ocl-or-true1[simp]: (true or Y) = true
  ⟨proof⟩

lemma ocl-or-true2: (Y or true) = true
  ⟨proof⟩

lemma ocl-or-assoc: (X or (Y or Z)) = (X or Y or Z)
  ⟨proof⟩

lemma deMorgan1: not(X and Y) = ((not X) or (not Y))
  ⟨proof⟩

lemma deMorgan2: not(X or Y) = ((not X) and (not Y))
  ⟨proof⟩

```

## 4 Logical Equality and Referential Equality

Construction by overloading: for each base type, there is an equality.

```
consts StrictRefEq :: [('A,'a)val,('A,'a)val] ⇒ ('A)Boolean (infixl ≈ 30)
```

Generic referential equality - to be used for instantiations with concrete object types ...

```

definition gen-ref-eq (x::('A,'a::object)val) (y::('A,'a::object)val)
  ≡ λ τ. if ( $\delta x$ ) τ = true τ ∧ ( $\delta y$ ) τ = true τ
    then [] (oid-of [[x τ]]) = (oid-of [[y τ]]) []
    else invalid τ

```

```

lemma gen-ref-eq-object-strict1[simp] :
  (gen-ref-eq (x::('A,'a::object)val) invalid) = invalid

```

$\langle proof \rangle$

**lemma** *gen-ref-eq-object-strict2*[simp] :  
 $(\text{gen-ref-eq invalid } (x::(\mathcal{A}, 'a::object)val)) = \text{invalid}$   
 $\langle proof \rangle$

**lemma** *gen-ref-eq-object-strict3*[simp] :  
 $(\text{gen-ref-eq } (x::(\mathcal{A}, 'a::object)val) \text{ null}) = \text{invalid}$   
 $\langle proof \rangle$

**lemma** *gen-ref-eq-object-strict4*[simp] :  
 $(\text{gen-ref-eq null } (x::(\mathcal{A}, 'a::object)val)) = \text{invalid}$   
 $\langle proof \rangle$

**lemma** *cp-gen-ref-eq-object*:  
 $(\text{gen-ref-eq } x (y::(\mathcal{A}, 'a::object)val)) \tau =$   
 $(\text{gen-ref-eq } (\lambda \_. x \tau) (\lambda \_. y \tau)) \tau$   
 $\langle proof \rangle$

## 5 Local Validity

**definition** *OclValid* ::  $[(\mathcal{A})st, (\mathcal{A})Boolean] \Rightarrow \text{bool } ((1(-)/ \models (-)) \ 50)$   
**where**  $\tau \models P \equiv ((P \tau) = \text{true} \tau)$

## 6 Global vs. Local Judgements

**lemma** *transform1*:  $P = \text{true} \implies \tau \models P$   
 $\langle proof \rangle$

**lemma** *transform2*:  $(P = Q) \implies ((\tau \models P) = (\tau \models Q))$   
 $\langle proof \rangle$

**lemma** *transform2-rev*:  $\forall \tau. (\tau \models \delta P) \wedge (\tau \models \delta Q) \wedge (\tau \models P) = (\tau \models Q) \implies P = Q$   
 $\langle proof \rangle$

However, certain properties (like transitivity) can not be *transformed* from the global level to the local one, they have to be re-proven on the local level.

**lemma** *transform3*:  
**assumes**  $H : P = \text{true} \implies Q = \text{true}$   
**shows**  $\tau \models P \implies \tau \models Q$   
 $\langle proof \rangle$

## 7 Local Validity and Meta-logic

**lemma** *foundation1*[simp]:  $\tau \models \text{true}$   
 $\langle proof \rangle$

**lemma** *foundation2*[simp]:  $\neg(\tau \models \text{false})$   
*(proof)*

**lemma** *foundation3*[simp]:  $\neg(\tau \models \text{invalid})$   
*(proof)*

**lemma** *foundation4*[simp]:  $\neg(\tau \models \text{null})$   
*(proof)*

**lemma** *bool-split-local*[simp]:  
 $(\tau \models (x \triangleq \text{invalid})) \vee (\tau \models (x \triangleq \text{null})) \vee (\tau \models (x \triangleq \text{true})) \vee (\tau \models (x \triangleq \text{false}))$   
*(proof)*

**lemma** *def-split-local*:  
 $(\tau \models \delta x) = ((\neg(\tau \models (x \triangleq \text{invalid}))) \wedge (\neg(\tau \models (x \triangleq \text{null}))))$   
*(proof)*

**lemma** *foundation5*:  
 $\tau \models (P \text{ and } Q) \implies (\tau \models P) \wedge (\tau \models Q)$   
*(proof)*

**lemma** *foundation6*:  
 $\tau \models P \implies \tau \models \delta P$   
*(proof)*

**lemma** *foundation7*[simp]:  
 $(\tau \models \text{not } (\delta x)) = (\neg(\tau \models \delta x))$   
*(proof)*

Key theorem for the Delta-closure: either an expression is defined, or it can be replaced (substituted via `StrongEq_L_subst2`; see below) by invalid or null. Strictness-reduction rules will usually reduce these substituted terms drastically.

**lemma** *foundation8*:  
 $(\tau \models \delta x) \vee (\tau \models (x \triangleq \text{invalid})) \vee (\tau \models (x \triangleq \text{null}))$   
*(proof)*

**lemma** *foundation9*:  
 $\tau \models \delta x \implies (\tau \models \text{not } x) = (\neg(\tau \models x))$   
*(proof)*

**lemma** *foundation10*:  
 $\tau \models \delta x \implies \tau \models \delta y \implies (\tau \models (x \text{ and } y)) = ((\tau \models x) \wedge (\tau \models y))$   
*(proof)*

**lemma** *foundation11*:

$\tau \models \delta x \implies \tau \models \delta y \implies (\tau \models (x \text{ or } y)) = ((\tau \models x) \vee (\tau \models y))$   
*(proof)*

**lemma** *foundation12*:

$\tau \models \delta x \implies \tau \models \delta y \implies (\tau \models (x \text{ implies } y)) = ((\tau \models x) \longrightarrow (\tau \models y))$   
*(proof)*

**lemma** *strictEqGen-vs-strongEq*:

*WFF*  $\tau \implies \tau \models (\delta x) \implies \tau \models (\delta y) \implies$   
 $(\tau \models (\text{gen-ref-eq } (x::('b::object, 'a::object) val) y)) = (\tau \models (x \triangleq y))$   
*(proof)*

WFF and object must be defined strong enough that this can be proven!

## 8 Local Judgements and Strong Equality

**lemma** *StrongEq-L-refl*:  $\tau \models (x \triangleq x)$   
*(proof)*

**lemma** *StrongEq-L-sym*:  $\tau \models (x \triangleq y) \implies \tau \models (y \triangleq x)$   
*(proof)*

**lemma** *StrongEq-L-trans*:  $\tau \models (x \triangleq y) \implies \tau \models (y \triangleq z) \implies \tau \models (x \triangleq z)$   
*(proof)*

In order to establish substitutivity (which does not hold in general HOL-formulas we introduce the following predicate that allows for a calculus of the necessary side-conditions.

**definition**  $cp :: ((\mathcal{A}, \alpha) \text{ val} \Rightarrow (\mathcal{A}, \beta) \text{ val}) \Rightarrow \text{bool}$   
**where**  $cp P \equiv (\exists f. \forall X \tau. P X \tau = f(X \tau) \tau)$

The rule of substitutivity in HOL-OCL holds only for context-passing expressions - i.e. those, that pass the context  $\tau$  without changing it. Fortunately, all operators of the OCL language satisfy this property (but not all HOL operators).

**lemma** *StrongEq-L-subst1*:  $!! \tau. cp P \implies \tau \models (x \triangleq y) \implies \tau \models (P x \triangleq P y)$   
*(proof)*

**lemma** *StrongEq-L-subst2*:

$!! \tau. cp P \implies \tau \models (x \triangleq y) \implies \tau \models (P x) \implies \tau \models (P y)$   
*(proof)*

**lemma** *cpI1*:

$(\forall X \tau. f X \tau = f(\lambda \_. X \tau) \tau) \implies cp P \implies cp(\lambda X. f (P X))$   
 $\langle proof \rangle$

**lemma** *cpI2*:

$(\forall X Y \tau. f X Y \tau = f(\lambda \_. X \tau)(\lambda \_. Y \tau) \tau) \implies$   
 $cp P \implies cp Q \implies cp(\lambda X. f (P X) (Q X))$   
 $\langle proof \rangle$

**lemma** *cp-const* :  $cp(\lambda \_. c)$   
 $\langle proof \rangle$

**lemma** *cp-id* :  $cp(\lambda X. X)$   
 $\langle proof \rangle$

**lemmas** *cp-intro*[*simp,intro!*] =  
*cp-const*  
*cp-id*  
*cp-defined*[*THEN allI[THEN allI[THEN cpI1], of defined]*]  
*cp-valid*[*THEN allI[THEN allI[THEN cpI1], of valid]*]  
*cp-not*[*THEN allI[THEN allI[THEN cpI1], of not]*]  
*cp-ocl-and*[*THEN allI[THEN allI[THEN allI[THEN cpI2]], of op and]*]  
*cp-ocl-or*[*THEN allI[THEN allI[THEN allI[THEN cpI2]], of op or]*]  
*cp-ocl-implies*[*THEN allI[THEN allI[THEN allI[THEN cpI2]], of op implies]*]  
*cp-StrongEq*[*THEN allI[THEN allI[THEN allI[THEN cpI2]], of StrongEq]*,  
    *of StrongEq*]  
*cp-gen-ref-eq-object*[*THEN allI[THEN allI[THEN allI[THEN cpI2]], of gen-ref-eq]*]

## 9 Laws to Establish Definedness (Delta-Closure)

For the logical connectives, we have — beyond  $\tau \models ?P \implies \tau \models \delta ?P$  — the followinf facts:

**lemma** *ocl-not-defargs*:  
 $\tau \models (\text{not } P) \implies \tau \models \delta P$   
 $\langle proof \rangle$

**lemma** *ocl-and-defargs*:  
 $\tau \models (P \text{ and } Q) \implies (\tau \models \delta P) \wedge (\tau \models \delta Q)$   
 $\langle proof \rangle$

So far, we have only one strict Boolean predicate (-family): The strict equality.

**end**  
**theory** *OCL-lib*  
**imports** *OCL-core*

**begin**

**syntax**

*notequal* :: ( $\mathcal{A}$ )Boolean  $\Rightarrow$  ( $\mathcal{A}$ )Boolean  $\Rightarrow$  ( $\mathcal{A}$ )Boolean (infix  $<>$  40)

**translations**

$a <> b == CONST \text{ not}(a \doteq b)$

**defs** *StrictRefEq-int* :  $(x:(\mathcal{A},\text{int})\text{val}) \doteq y \equiv$

$$\lambda \tau. \text{if } (\delta x) \tau = \text{true} \wedge (\delta y) \tau = \text{true} \tau \\ \text{then } (x \triangleq y) \tau \\ \text{else invalid } \tau$$

**defs** *StrictRefEq-bool* :  $(x:(\mathcal{A},\text{bool})\text{val}) \doteq y \equiv$

$$\lambda \tau. \text{if } (\delta x) \tau = \text{true} \wedge (\delta y) \tau = \text{true} \tau \\ \text{then } (x \triangleq y) \tau \\ \text{else invalid } \tau$$

**lemma** *StrictRefEq-int-strict1* [simp] :  $((x:(\mathcal{A},\text{int})\text{val}) \doteq \text{invalid}) = \text{invalid}$   
*⟨proof⟩*

**lemma** *StrictRefEq-int-strict2* [simp] :  $(\text{invalid} \doteq (x:(\mathcal{A},\text{int})\text{val})) = \text{invalid}$   
*⟨proof⟩*

**lemma** *StrictRefEq-int-strict3* [simp] :  $((x:(\mathcal{A},\text{int})\text{val}) \doteq \text{null}) = \text{invalid}$   
*⟨proof⟩*

**lemma** *StrictRefEq-int-strict4* [simp] :  $(\text{null} \doteq (x:(\mathcal{A},\text{int})\text{val})) = \text{invalid}$   
*⟨proof⟩*

**lemma** *strictEqBool-vs-strongEq*:

$\tau \models (\delta x) \implies \tau \models (\delta y) \implies (\tau \models ((x:(\mathcal{A},\text{bool})\text{val}) \doteq y)) = (\tau \models (x \triangleq y))$   
*⟨proof⟩*

**lemma** *strictEqInt-vs-strongEq*:

$\tau \models (\delta x) \implies \tau \models (\delta y) \implies (\tau \models ((x:(\mathcal{A},\text{int})\text{val}) \doteq y)) = (\tau \models (x \triangleq y))$   
*⟨proof⟩*

**lemma** *strictEqBool-defargs*:

$\tau \models ((x:(\mathcal{A},\text{bool})\text{val}) \doteq y) \implies (\tau \models (\delta x)) \wedge (\tau \models (\delta y))$   
*⟨proof⟩*

**lemma** *strictEqInt-defargs*:

$\tau \models ((x:(\mathcal{A},\text{int})\text{val}) \doteq y) \implies (\tau \models (\delta x)) \wedge (\tau \models (\delta y))$   
*⟨proof⟩*

**lemma** *gen-ref-eq-defargs*:  
 $\tau \models (\text{gen-ref-eq } x \ (y::(\mathfrak{A}, 'a::\text{object})\text{val})) \implies (\tau \models (\delta x)) \wedge (\tau \models (\delta y))$   
*(proof)*

**lemma** *StrictRefEq-int-strict* :  
**assumes**  $A: \delta (x::(\mathfrak{A}, \text{int})\text{val}) = \text{true}$   
**and**  $B: \delta y = \text{true}$   
**shows**  $\delta (x \doteq y) = \text{true}$   
*(proof)*

**lemma** *StrictRefEq-int-strict'* :  
**assumes**  $A: \delta ((x::(\mathfrak{A}, \text{int})\text{val}) \doteq y) = \text{true}$   
**shows**  $\delta x = \text{true} \wedge \delta y = \text{true}$   
*(proof)*

**lemma** *StrictRefEq-bool-strict1[simp]* :  $((x::(\mathfrak{A}, \text{bool})\text{val}) \doteq \text{invalid}) = \text{invalid}$   
*(proof)*

**lemma** *StrictRefEq-bool-strict2[simp]* :  $(\text{invalid} \doteq (x::(\mathfrak{A}, \text{bool})\text{val})) = \text{invalid}$   
*(proof)*

**lemma** *StrictRefEq-bool-strict3[simp]* :  $((x::(\mathfrak{A}, \text{bool})\text{val}) \doteq \text{null}) = \text{invalid}$   
*(proof)*

**lemma** *StrictRefEq-bool-strict4[simp]* :  $(\text{null} \doteq (x::(\mathfrak{A}, \text{bool})\text{val})) = \text{invalid}$   
*(proof)*

**lemma** *cp-StrictRefEq-bool*:  
 $((X::(\mathfrak{A}, \text{bool})\text{val}) \doteq Y) \tau = ((\lambda \_. X \tau) \doteq (\lambda \_. Y \tau)) \tau$   
*(proof)*

**lemma** *cp-StrictRefEq-int*:  
 $((X::(\mathfrak{A}, \text{int})\text{val}) \doteq Y) \tau = ((\lambda \_. X \tau) \doteq (\lambda \_. Y \tau)) \tau$   
*(proof)*

**lemmas** *cp-rules* =  
*cp-StrictRefEq-bool*[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]],  
*of StrictRefEq*]]  
*cp-StrictRefEq-int*[*THEN allI*[*THEN allI*[*THEN allI*[*THEN cpI2*]],  
*of StrictRefEq*]]

```

lemma StrictRefEq-strict :
  assumes A:  $\delta(x:(\mathfrak{A}, \text{int})\text{val}) = \text{true}$ 
  and      B:  $\delta y = \text{true}$ 
  shows    $\delta(x \doteq y) = \text{true}$ 
   $\langle proof \rangle$ 

```

```

definition ocl-zero ::( $\mathfrak{A}\text{Integer}$ ) (0)
where    0 = ( $\lambda \_ . \lfloor \_ 0:\text{int} \rfloor \rfloor$ )

```

```

definition ocl-one ::( $\mathfrak{A}\text{Integer}$ ) (1)
where    1 = ( $\lambda \_ . \lfloor \_ 1:\text{int} \rfloor \rfloor$ )

```

```

definition ocl-two ::( $\mathfrak{A}\text{Integer}$ ) (2)
where    2 = ( $\lambda \_ . \lfloor \_ 2:\text{int} \rfloor \rfloor$ )

```

```

definition ocl-three ::( $\mathfrak{A}\text{Integer}$ ) (3)
where    3 = ( $\lambda \_ . \lfloor \_ 3:\text{int} \rfloor \rfloor$ )

```

```

definition ocl-four ::( $\mathfrak{A}\text{Integer}$ ) (4)
where    4 = ( $\lambda \_ . \lfloor \_ 4:\text{int} \rfloor \rfloor$ )

```

```

definition ocl-five ::( $\mathfrak{A}\text{Integer}$ ) (5)
where    5 = ( $\lambda \_ . \lfloor \_ 5:\text{int} \rfloor \rfloor$ )

```

```

definition ocl-six ::( $\mathfrak{A}\text{Integer}$ ) (6)
where    6 = ( $\lambda \_ . \lfloor \_ 6:\text{int} \rfloor \rfloor$ )

```

```

definition ocl-seven ::( $\mathfrak{A}\text{Integer}$ ) (7)
where    7 = ( $\lambda \_ . \lfloor \_ 7:\text{int} \rfloor \rfloor$ )

```

```

definition ocl-eight ::( $\mathfrak{A}\text{Integer}$ ) (8)
where    8 = ( $\lambda \_ . \lfloor \_ 8:\text{int} \rfloor \rfloor$ )

```

```

definition ocl-nine ::( $\mathfrak{A}\text{Integer}$ ) (9)
where    9 = ( $\lambda \_ . \lfloor \_ 9:\text{int} \rfloor \rfloor$ )

```

```

definition ten-nine ::( $\mathfrak{A}\text{Integer}$ ) (10)
where    10 = ( $\lambda \_ . \lfloor \_ 10:\text{int} \rfloor \rfloor$ )

```

Here is a way to cast in standard operators via the type class system of Isabelle.

```

lemma [simp]: $\delta \mathbf{0} = \text{true}$ 
 $\langle proof \rangle$ 

```

```

lemma [simp]: $v \mathbf{0} = \text{true}$ 
 $\langle proof \rangle$ 

```

```
instance option :: (plus) plus
⟨proof⟩
```

```
instance fun :: (type, plus) plus
⟨proof⟩
```

```
definition ocl-less-int :: ('A)Integer ⇒ ('A)Integer ⇒ ('A)Boolean (infix  $\prec$  40)
where  $x \prec y \equiv \lambda \tau. \text{if } (\delta x) \tau = \text{true} \tau \wedge (\delta y) \tau = \text{true} \tau$ 
       $\text{then } \lfloor \lceil \lceil x \tau \rceil \rceil < \lceil \lceil y \tau \rceil \rceil \rfloor$ 
       $\text{else invalid } \tau$ 
```

```
definition ocl-le-int :: ('A)Integer ⇒ ('A)Integer ⇒ ('A)Boolean (infix  $\preceq$  40)
where  $x \preceq y \equiv \lambda \tau. \text{if } (\delta x) \tau = \text{true} \tau \wedge (\delta y) \tau = \text{true} \tau$ 
       $\text{then } \lfloor \lceil \lceil x \tau \rceil \rceil \leq \lceil \lceil y \tau \rceil \rceil \rfloor$ 
       $\text{else invalid } \tau$ 
```

```
lemma zero-non-null[simp]: 0 ≠ null
⟨proof⟩
```

## 10 Collection Types

### 10.1 Prerequisite: An Abstract Interface for OCL Types

In order to have the possibility to nest collection types, it is necessary to introduce a uniform interface for types having the "invalid" (= bottom) element. In a second step, our base-types will be shown to be instances of this class.

This uniform interface consists in abstracting the null (which is defined by  $\lfloor \perp \rfloor$  on '*a* option' *option* to a NULL - element, which may have an arbitrary semantic structure, and an undefinedness element  $\perp$  to an abstract undefinedness element *UU* (also written  $\perp$  whenever no confusion arises). As a consequence, it is necessary to redefine the notions of invalid, defined, valuation etc. on top of this interface.

This interface consists in two abstract type classes *bottom* and *null* for the class of all types comprising a bottom and a distinct null element.

```
class bottom =
  fixes UU :: 'a
  assumes nonEmpty :  $\exists x. x \neq UU$ 
```

```

begin
  notation (xsymbols) UU ( $\perp$ )
end

class null = bottom +
  fixes NULL :: 'a
  assumes null-is-valid : NULL  $\neq$  UU

In the following it is shown that the option-option type type is in fact in the
null class and that function spaces over these classes again "live" in these
classes.

instantiation option :: (type)bottom
begin

  definition UU-option-def: (UU::'a option)  $\equiv$  (None::'a option)
  instance ⟨proof⟩
end

instantiation option :: (bottom)null
begin
  definition NULL-option-def: (NULL::'a::bottom option)  $\equiv$   $\lfloor \text{UU} \rfloor$ 
  instance ⟨proof⟩
end

instantiation fun :: (type,bottom) bottom
begin
  definition UU-fun-def: UU  $\equiv$  ( $\lambda x. \text{UU}$ )
  instance ⟨proof⟩
end

instantiation fun :: (type,null) null
begin
  definition NULL-fun-def: (NULL::'a  $\Rightarrow$  'b::null)  $\equiv$  ( $\lambda x. \text{NULL}$ )
  instance ⟨proof⟩
end

```

A trivial consequence of this adaption of the interface is that abstract and concrete versions of NULL are the same on base types (as could be expected).

**lemma** [*simp*]: *null* = (*NULL*::('i)Integer)  
 ⟨*proof*⟩

**lemma** [simp]:  $\text{null} = (\text{NULL}:(\alpha)\text{Boolean})$   
 $\langle \text{proof} \rangle$

**lemma** [simp]:  $\mathbf{0} \neq \text{NULL}$   
 $\langle \text{proof} \rangle$

Now, on this basis we generalize the concept of a valuation: we do no longer care that the  $\perp$  and  $\text{NULL}$  were actually constructed by the type constructor option; rather, we require that the type is just from the null-class:

**type-synonym** ( $\mathfrak{A}, \alpha$ )  $\text{val}' = \mathfrak{A} \text{ st} \Rightarrow \alpha:\text{null}$

However, this has also the consequence that core concepts like definedness or validness have to be redefined on this type class:

**definition**  $\text{valid}' :: (\mathfrak{A}, \alpha:\text{null})\text{val}' \Rightarrow (\mathfrak{A})\text{Boolean}$  ( $v' - [100]100$ )  
**where**  $v' X \equiv \lambda \tau . \text{if } X \tau = \text{UU} \tau \text{ then false } \tau \text{ else true } \tau$

**definition**  $\text{defined}' :: (\mathfrak{A}, \alpha:\text{null})\text{val}' \Rightarrow (\mathfrak{A})\text{Boolean}$  ( $\delta' - [100]100$ )  
**where**  $\delta' X \equiv \lambda \tau . \text{if } X \tau = \text{UU} \tau \vee X \tau = \text{NULL} \tau \text{ then false } \tau \text{ else true } \tau$

The generalized definitions of invalid and definedness have the same properties as the old ones :

**lemma**  $\text{defined1}[\text{simp}]$ :  $\delta' \text{ invalid} = \text{false}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{defined2}[\text{simp}]$ :  $\delta' \text{ null} = \text{false}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{defined3}[\text{simp}]$ :  $\delta' \delta' X = \text{true}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{valid4}[\text{simp}]$ :  $v' (X \triangleq Y) = \text{true}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{defined4}[\text{simp}]$ :  $\delta' (X \triangleq Y) = \text{true}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{defined5}[\text{simp}]$ :  $\delta' v' X = \text{true}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{valid5}[\text{simp}]$ :  $v' \delta' X = \text{true}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{cp-valid}'$ :  $(v' X) \tau = (v' (\lambda \_. X \tau)) \tau$   
 $\langle \text{proof} \rangle$

**lemma** *cp-defined'*:  $(\delta' X)\tau = (\delta' (\lambda \_. X \tau)) \tau$   
*(proof)*

**lemmas** *cp-intro[simp,intro!]* =  
*cp-defined'[THEN allI[THEN allI[THEN cpII], of defined']]*  
*cp-valid'[THEN allI[THEN allI[THEN cpII], of valid']]*  
*cp-intro*

In fact, it can be proven for the base types that both versions of undefined and invalid are actually the same:

**lemma** *defined-is-defined'*:  $\delta X = \delta' X$   
*(proof)*

**lemma** *valid-is-valid'*:  $v' X = v' X$   
*(proof)*

## 10.2 Example: The Set-Collection Type

For the semantic construction of the collection types, we have two goals:

1. we want the types to be *fully abstract*, i.e. the type should not contain junk-elements that are not representable by OCL expressions.
2. We want a possibility to nest collection types (so, we want the potential to talking about *Set(Set(Sequences(Pairs(X, Y))))*), and

The former principle rules out the option to define ' $\alpha$  Set' just by (' $\alpha$ , (' $\alpha$  option option) set) val. This would allow sets to contain junk elements such as  $\{\perp\}$  which we need to identify with undefinedness itself. Abandoning fully abstractness of rules would later on produce all sorts of problems when quantifying over the elements of a type. However, if we build an own type, then it must conform to our abstract interface in order to have nested types: arguments of type-constructors must conform to our abstract interface, and the result type too.

The core of an own type construction is done via a type definition which provides the raw-type ' $\alpha$  Set-0'. It is shown that this type "fits" indeed into the abstract type interface discussed in the previous section.

**typedef** ' $\alpha$  Set-0 = { $X:(\alpha::null)$  set option option.  
 $X = UU \vee X = NULL \vee (\forall x \in [\![X]\!]. x \neq UU)$ }

*(proof)*

**instantiation** *Set-0 :: (null)bottom*  
**begin**

```

definition bot-Set-0-def: ( $UU::('a::null) Set-0$ )  $\equiv$  Abs-Set-0 None

instance ⟨proof⟩
end

instantiation Set-0 :: (null) null
begin

definition NULL-Set-0-def: (NULL::('a::null) Set-0)  $\equiv$  Abs-Set-0 [ None ]

```

**instance** ⟨proof⟩  
**end**

... and lifting this type to the format of a valuation gives us:

**type-synonym**  $(\mathfrak{A}, \alpha) Set = (\mathfrak{A}, \alpha Set-0) val'$

... which means that we can have a type  $(\mathfrak{A}, (\mathfrak{A}, (\mathfrak{A}) Integer) Set) Set$  corresponding exactly to  $Set(Set(Integer))$  in OCL notation. Note that the parameter  $\mathfrak{A}$  still refers to the object universe; making the OCL semantics entirely parametric in the object universe makes it possible to study (and prove) its properties independently from a concrete class diagram.

**definition** mtSet::( $\mathfrak{A}, \alpha::null) Set$  ( $Set\{\}$ )  
**where**  $Set\{\} \equiv (\lambda \tau. Abs-Set-0 [\{\}::'\alpha set])$

Note that the collection types in OCL allow for NULL to be included; however, there is the NULL-collection into which inclusion yields invalid.

**definition** OclIncluding ::  $[(\mathfrak{A}, \alpha::null) Set, (\mathfrak{A}, \alpha) val] \Rightarrow (\mathfrak{A}, \alpha) Set$   
**where**  $OclIncluding x y = (\lambda \tau. if (\delta' x) \tau = true \tau \wedge (v' y) \tau = true \tau$   
 $then Abs-Set-0 [\lceil \lceil Rep-Set-0 (x \tau) \rceil \rceil \cup \{y \tau\}]$   
 $else UU)$

**definition** OclIncludes ::  $[(\mathfrak{A}, \alpha::null) Set, (\mathfrak{A}, \alpha) val] \Rightarrow \mathfrak{A} Boolean$   
**where**  $OclIncludes x y = (\lambda \tau. if (\delta' x) \tau = true \tau \wedge (v' y) \tau = true \tau$   
 $then UU$   
 $else [\lceil (y \tau) \in \lceil \lceil Rep-Set-0 (x \tau) \rceil \rceil ]]$

### consts

$OclSize :: (\mathfrak{A}, \alpha::null) Set \Rightarrow \mathfrak{A} Integer$   
 $OclCount :: [(\mathfrak{A}, \alpha::null) Set, (\mathfrak{A}, \alpha) Set] \Rightarrow \mathfrak{A} Integer$

$OclExcludes :: [(\mathfrak{A}, \alpha::null) Set, (\mathfrak{A}, \alpha) val] \Rightarrow \mathfrak{A} Boolean$

$OclExcluding :: [(\mathfrak{A}, \alpha::null) Set, (\mathfrak{A}, \alpha) val] \Rightarrow (\mathfrak{A}, \alpha) Set$

$OclSum :: (\mathfrak{A}, \alpha::null) Set \Rightarrow \mathfrak{A} Integer$

$OclIncludesAll :: [(\mathfrak{A}, \alpha::null) Set, (\mathfrak{A}, \alpha) Set] \Rightarrow \mathfrak{A} Boolean$

$OclExcludesAll :: [(\mathfrak{A}, \alpha::null) Set, (\mathfrak{A}, \alpha) Set] \Rightarrow \mathfrak{A} Boolean$

$OclIsEmpty :: (\mathfrak{A}, \alpha::null) Set \Rightarrow \mathfrak{A} Boolean$   
 $OclNotEmpty :: (\mathfrak{A}, \alpha::null) Set \Rightarrow \mathfrak{A} Boolean$   
 $OclComplement :: (\mathfrak{A}, \alpha::null) Set \Rightarrow (\mathfrak{A}, \alpha) Set$   
 $OclUnion :: [(\mathfrak{A}, \alpha::null) Set, (\mathfrak{A}, \alpha) Set] \Rightarrow (\mathfrak{A}, \alpha) Set$   
 $OclIntersection :: [(\mathfrak{A}, \alpha::null) Set, (\mathfrak{A}, \alpha) Set] \Rightarrow (\mathfrak{A}, \alpha) Set$

**notation**

$OclSize (- \rightarrow size'() [66])$   
**and**  
 $OclCount (- \rightarrow count'(-) [66, 65] 65)$   
**and**  
 $OclIncludes (- \rightarrow includes'(-) [66, 65] 65)$   
**and**  
 $OclExcludes (- \rightarrow excludes'(-) [66, 65] 65)$   
**and**  
 $OclSum (- \rightarrow sum'() [66])$   
**and**  
 $OclIncludesAll (- \rightarrow includesAll'(-) [66, 65] 65)$   
**and**  
 $OclExcludesAll (- \rightarrow excludesAll'(-) [66, 65] 65)$   
**and**  
 $OclIsEmpty (- \rightarrow isEmpty'() [66])$   
**and**  
 $OclNotEmpty (- \rightarrow notEmpty'() [66])$   
**and**  
 $OclIncluding (- \rightarrow including'(-))$   
**and**  
 $OclExcluding (- \rightarrow excluding'(-))$   
**and**  
 $OclComplement (- \rightarrow complement'())$   
**and**  
 $OclUnion (- \rightarrow union'(-) [66, 65] 65)$   
**and**  
 $OclIntersection (- \rightarrow intersection'(-) [71, 70] 70)$

**lemma** *including-strict1*[simp]:( $\perp \rightarrow including(x)$ ) =  $\perp$   
*{proof}*

**lemma** *including-strict2*[simp]:( $X \rightarrow including(\perp)$ ) =  $\perp$   
*{proof}*

**lemma** *including-strict3*[simp]:( $NULL \rightarrow including(x)$ ) =  $\perp$   
*{proof}*

**syntax**

- $OclFinset :: args \Rightarrow (\mathfrak{A}, \alpha::null) Set \quad (Set\{(-)\})$

```
translations
  Set{x, xs} == CONST OclIncluding (Set{xs}) x
  Set{x}     == CONST OclIncluding (Set{}) x
```

```
lemma syntax-test: Set{2,1} = (Set{}->including(1)->including(2))
  ⟨proof⟩
```

```
end
```

```
theory OCL-tools
imports OCL-core
begin
```

```
end
```

```
theory OCL-main
imports OCL-lib OCL-tools
begin
```

```
end
```