

Featherweight OCL

A study for the consistent semantics of OCL 2.3 in HOL

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Outline

- 1 Motivation
- 2 Featherweight OCL
- 3 Conclusion and Further Work

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Semantics in the OCL 2.3 Standard

The semantics of OCL 2.3 is spread over several places:

Chapter 7 “OCL Language Description” (informative): introduces OCL informally using examples,

Chapter 10 “Semantics Described using UML” (normative): presents an “evaluation” environment,

Chapter 11 “The OCL Standard Library” (normative): describes the requirements (pre-/post-style) of the library,

Appendix A “Semantics” (informative): presents a *formal semantics* (textbook style), based on the work of Richters.

And all that needs to be aligned with all other UML (sub-)standards

History: A Single Undefined Value (`invalid`)

- OCL was equipped with a *single* exception element:
`invalid` (previously called `oclUndefined`)
- `invalid` is used to model all exceptional situations
 - division by zero, e. g., `1/0`
 - accessing elements of a empty list, e. g., `Seq{}->first()`
 - representation of “absence of a value”
 - ...
- Most operations are *strict*, e. g.,

```
self.x->including(invalid) = invalid
```

- Exception: Boolean operations, e. g.,

```
invalid or true = true
```

Adding a New “Undefinedness”

Motivation and Intuition

■ Main Motivation:

Alignment with the UML standard.

■ Action Taken by OMG:

Introduction of a second exception element: `null`.

■ Intuition:

- `null` represents **absence of value**.
- `null` is a potentially **non-strict** exception element.

Adding a New “Undefinedness”

Observation

In OCL 2.2, this extension has been done in an ad hoc manner, e.g.,

- Both `invalid` and `null` conform to all classifiers.
- In particular `null` conforms to `invalid` and vice versa.
- The conforms relationship is antisymmetric, thus `invalid` and `null` are indistinguishable.
- Contradiction to:
`null` being non-strict and `invalid` being strict.

Our Contribution:

- At the OCL Workshop 2009, we presented a “paper and pencil” integration of `null` into the semantics of OCL 2.0
- Featherweight OCL formalizes this semantics in Isabelle/HOL (following the tradition of HOL-OCL)

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Featherweight OCL

Formalizing the Core of OCL

- Embedding into Isabelle/HOL
- Shallow embedding
- Strongly typed
- Any Featherweight OCL type contains at least `invalid` and `null`
- All objects are represented in an object universe
- Featherweight OCL types may be arbitrarily nested
- Support for infinite sets
- Support for equational reasoning and congruence reasoning

OCL 2.0: Strict Operations

- Example: Addition of integers
- The interpretation of “ $X+Y$ ” for Integers:

$$I\llbracket X + Y \rrbracket \tau \equiv \begin{cases} \llbracket I\llbracket X \rrbracket \tau \rrbracket + \llbracket I\llbracket Y \rrbracket \tau \rrbracket & \text{if } I\llbracket X \rrbracket \tau \neq \perp \\ & \text{and } I\llbracket Y \rrbracket \tau \neq \perp, \\ \perp & \text{otherwise.} \end{cases}$$

- This is a **strict** version of the addition of Integers.

OCL 2.3: Strict Operations and Null

- We define

$$I\llbracket X + Y \rrbracket \tau \equiv \begin{cases} \perp + \perp & \text{if } x \neq \perp, y \neq \perp, \lceil x \rceil \neq \perp \\ & \text{and } \lceil y \rceil \neq \perp \\ \perp & \text{otherwise} \end{cases}$$

where $x = I\llbracket X \rrbracket \tau$ and $y = I\llbracket Y \rrbracket \tau$.

($x \neq \perp \iff$ “x is not invalid” and $x \neq \perp \iff$ “x is not null”)

- Note: $3 + \text{null}_{\text{Integer}} = \text{invalid}$

OCL 2.0: Boolean Operations (Non-strict Operations)

- The interpretation of “ X and Y ” for Booleans:

$$I\llbracket X \text{ and } Y \rrbracket_{\mathcal{T}} \equiv \begin{cases} \llbracket x \rrbracket \wedge \llbracket y \rrbracket & \text{if } x \neq \perp \text{ and } y \neq \perp, \\ \llbracket \text{false} \rrbracket & \text{if } x = \llbracket \text{false} \rrbracket \text{ or } y = \llbracket \text{false} \rrbracket, \\ \perp & \text{otherwise.} \end{cases}$$

where $x = I\llbracket X \rrbracket_{\mathcal{T}}$ and $y = I\llbracket Y \rrbracket_{\mathcal{T}}$.

- The OCL standard demands a Strong Kleene Logic.

OCL 2.3: Challenges in the Standard

- The standard defines

```
not (null) = invalid
```

- With the consequence, that

```
not (not X) = X
```

does not hold for all values of X :

```
not (not null) = invalid
```

- Similarly:

```
null and null = invalid
```

OCL 2.3: Boolean Operations (Non-strict Operations)

- We recommend:¹

$$I\llbracket X \text{ and } Y \rrbracket_{\tau} \equiv \begin{cases} \llbracket x \rrbracket_{\tau} \wedge \llbracket y \rrbracket_{\tau} & \text{if } x \neq \perp \text{ and } y \neq \perp \\ & \text{or } \llbracket x \rrbracket_{\tau} \neq \perp \text{ and } \llbracket y \rrbracket_{\tau} \neq \perp, \\ \llbracket \text{false} \rrbracket_{\tau} & \text{if } x = \llbracket \text{false} \rrbracket_{\tau} \text{ or } y = \llbracket \text{false} \rrbracket_{\tau}, \\ \llbracket \perp \rrbracket_{\tau} & \text{if } x = \llbracket \perp \rrbracket_{\tau} \text{ and } y = \llbracket \perp \rrbracket_{\tau} \\ & \text{or } x = \llbracket \text{true} \rrbracket_{\tau} \text{ and } y = \llbracket \perp \rrbracket_{\tau} \\ & \text{or } x = \llbracket \perp \rrbracket_{\tau} \text{ and } y = \llbracket \text{true} \rrbracket_{\tau}, \\ \perp & \text{otherwise.} \end{cases}$$

where $x = I\llbracket X \rrbracket_{\tau}$ and $y = I\llbracket Y \rrbracket_{\tau}$.

Note: $\llbracket \perp \rrbracket_{\tau}$ represents **null** and \perp represents **invalid**.

- This definition deviates from the current OCL 2.3.1 standard.

¹modified for simplifying the presentation

OCL 2.3: The Boolean Operations “and”

- We formally prove the following core properties of “and”:

(invalid and true)	= invalid
(invalid and false)	= false
(invalid and null)	= invalid
(invalid and invalid)	= invalid

(false and true)	= false
(false and false)	= false
(false and null)	= false
(false and invalid)	= false

(null and true)	= null
(null and false)	= false
(null and null)	= null
(null and invalid)	= invalid

(true and true)	= true
(true and false)	= false
(true and null)	= null
(true and invalid)	= invalid

- As well as:

(X and X)	= X
X and true	= X
X and false	= false

(X and Y)	= (Y and X)
(X and (Y and Z))	= (X and Y and Z)

Demo

The screenshot shows the Isabelle/HOL IDE interface. The left pane displays the source code for a Featherweight OCL file, and the right pane shows the rendered HTML output.

Source Code (Left Pane):

```

      ⊥      ⇒ ⊥
    | [ ⊥ ] ⇒ [ ⊥ ]
    | [ x ] ⇒ [ ¬ x ]"

text{*Note that @{term "not"} is \emph{not} defined
lattice laws implies that we \emph{need} a definitio
@{text "not(not(x)=x)}. *}

lemma cp_not: "(not X)τ = (not (λ _. X τ)) τ"
by(simp add: not_def)

lemma not1[simp]: "not invalid = invalid"
by(rule ext,simp add: not_def null_def invalid_def)

```

Rendered HTML (Right Pane):

```

where not X ≡ λ τ . case X τ of
  | ⊥      ⇒ ⊥
  | [ ⊥ ] ⇒ [ ⊥ ]
  | [ x ] ⇒ [ ¬ x ]

Note that not is not defined as a strict function; proximity to lattice laws implies th
we need a definition of not that satisfies not(not(x))=x.

lemma cp-not: (not X)τ = (not (λ _. X τ)) τ
by(simp add: not-def)

lemma not1[simp]: not invalid = invalid
by(rule ext,simp add: not-def null-def invalid-def true-def false-def bot-option-def)

lemma not2[simp]: not null = null
by(rule ext,simp add: not-def null-def invalid-def true-def false-def
bot-option-def null-fan-def null-option-def)

lemma not3[simp]: not true = false
by(rule ext,simp add: not-def null-def invalid-def true-def false-def)

lemma not4[simp]: not false = true
by(rule ext,simp add: not-def null-def invalid-def true-def false-def)

lemma not-not[simp]: not (not X) = X
apply(rule ext,simp add: not-def null-def invalid-def true-def false-def)
apply(case-tac X x, simp-all)
apply(case-tac a, simp-all)
done

syntax
not_bool .. (λ) Boolean ⇒ (λ) Boolean ⇒ (λ) Boolean (infix << />> />)

```

Terminal (Bottom Left):

```

> val it = (): unit
val commit = fn: unit -> bool
val it = (): unit
ML>
Welcome to Isabelle/HOL (Isabelle2011-1: October 2011)
process ready

```

Status Bar (Bottom): 471,8 (17214/36737) (isabelle,sidekick.UTF-8-isabelle)Nm r o UG 11.15.11Mb06:59

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Conclusions

We understand OCL as a specification language

- Should be more abstract than a programming language
- The usual algebraic laws should hold
- Four-valued Kleene-Logic (lattice like organization of values)

Formalizing the core of OCL

- Helps to clarify the semantics
- Helps to preserve consistency while extending the language
- Can provide input for updating "Annex A"

Many new interesting extensions are discussed, e.g.,

- λ -expression
- ...

Personal Opinion

Status of the standard

- OCL 2.2 was a total mess with respect to `null`
- OCL 2.3 is an improvement, still many glitches

The OMG standardization process where members vote on changes

- is maybe not best process to achieve a consistent standard

Technical standards should use authoring systems that ensure

- the syntactical correctness
- semantical consistency

Thank you for your attention!

Any questions or remarks?

Related Publications



Achim D. Brucker, Matthias P. Krieger, and Burkhart Wolff.

Extending OCL with null-references.

In Sudipto Gosh, editor, *Models in Software Engineering*, number 6002 in LNCS, pages 261–275. Springer, 2009.

<http://www.brucker.ch/bibliography/abstract/brucker.ea-ocl-null-2009>.

Selected best papers from all satellite events of the MoDELS 2009 conference.



Achim D. Brucker and Burkhart Wolff.

Featherweight OCL: A study for the consistent semantics of OCL 2.3 in HOL.

In *Workshop on OCL and Textual Modelling (OCL 2012)*. 2012.

<http://www.brucker.ch/bibliography/abstract/brucker.ea-featherweight-2012>.